

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Pericles CALIAS et al.

Serial No.:

10/075,184

Filed: February 14, 2002

For: Drug Delivery of Proteins From Polymeric

Blends

Examiner: Group Art:

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

September 17, 2002 (Date of Deposit)

Kent H. Cheng

Name of applicant, assignee or Registered Representative

Signature

September 17, 2002 Date of Signature

Assistant Commissioner for Patents Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

SIR:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO 1449. Copies of the listed documents are also enclosed.

It is respectfully requested that the above information be considered by the Examiner and that the copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered.

In accordance with 37 C.F.R §§1.97(g) and (h), the filing of this Information Disclosure Statement should not be construed as a representation that a search has been made or that information cited is, or is considered to be, material to patentability as defined in §1.56(b), S:\Ophelia\4830-2CON IDS.doc

or that any cited document listed or attached is (or constitutes) prior art. Unless otherwise indicated, the date of publication indicated for an item is taken from the face of the item and Applicant(s) reserve(s) the right to prove that the date of publication is in fact different.

If any fees or charges are deemed required at this time in connection with the application, the same may be charged to our Patent and Trademark Office Deposit Account No. 03-2412.

Respectfully submitted, COHEN, PONTANI, LIEBERMAN & PAVANE

By:

Kent H. Cheng

Reg. No. 33,849

551 Fifth Avenue, Suite 1210

New York, New York 10176

(212) 687-2770

Dated: September 17, 2002

S. DEPARTMENT OF COMMERCE

FILIST OF REFERENCES CITED BY APPLICANT SEP 2 4 2002

Filing Date: February 14, 2002

Art.

Docket No.:

serial No.:

Applicant:

Pericles CALIAS et al.

U.S. PATENT DOCUMENTS

*Ex. Intls.		Document No.	Date	Name	Class	Subclass	Filing Date
	AA	5,378,540	1/3/95	Olson			
	AB	5,741,600	4/21/98	Olson			
	AC	5,610,214	3/11/97	Olson	ļ		
	AD	5,380,780	1/10/95	Olson			
	AE	4,201,216	5/6/80	Mattei			
	AF	3,896,814	6/29/75	Vivien et al.			
	AG	4,624,256	11/25/86	Messier et al.	ļ	ļ	
	AH	4,137,279	1/30/79	Smith et al.		ļ	
	AI	4,649,920	3/17/87	Rhum			
	AJ	2,576,576	11/27/51	Cresswell et al.		ļ	
	AK	4,786,501	11/88	Janski et al.			
	AL	5,084,267	1/92	Damani			
	AM	5,278,201	1/94	Dunn et al.			
	AN	5,641,502	6/97	Skalla et al.			

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

AO	A.M. Klibanov et al., "A New Approach to Preparative Enzymatic Synthesis"; Biotechnology & Bioengineering, Vol XIX, Pages 1351-1361 (1977) by John Wiley & Sons, Inc.
AP	"Final Report on the Safety Assessment of Blyceryl Sterate and Glyceryl Stearate/SE": J. Am. College Toxicology, Vol. 1, No. 4, 12982, pp. 169-192 (1982).
AQ	Rong-Kun Chang, James C. Price and Clyde W. Whitworth, "Control of Drug Release Rates Through the Use of Mixtures of Polycaprolactone and Cellulose Propionate Polymers"; Pharm Technol , pp. 24-33, October, 1986.
AR	Chang et al., "Control of Drug Release Rate by Use of Mixtures of Polycaprolactone and Cellulose Acetate Butyrate Polymers"; Drug Dev. & Indus. Pharmacy, 13(6), 1119-1135 (1987).
AS	J. Heller, "Synthesis and Use of Poly (Ortho Esters) for the Controlled Delivery of Therapeutic Agents"; Technomic Pub. Co. Inc. 1988, J. Bioactive and Compatible Polymers, Vol. 3, April 1988, pp. 97-105.
AT	C.G. Pitt et al., "Aliphatic Polyesters. 1. The Degradation of Poly(E-Caprolactone) In vivo"; Journal of Applied Polymer Science, Vol. 26, 3779-3787 (1981).

EXAMINER:	DATE CONSIDERED
MAMINER.	-

^{*} EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

PATENT AND TRADESTATION OFFICE OFFICE OFFICE OF STEP 2 2002 1988 TER 1600/2900

LIST OF REFERE MAR 2 9 1999

Atty Docket No.:

4830-2

09/063,098

Filing Date:

Apr 20, 199

Group Art:

1615

Serial No.:

Pericles CALIAS et al.

U.S. PATENT DOCUMENTS

*Ex.		Document No.	Date	Name	Class	Subclas	Filing Date
-M	AA	5,378,540	Jan. 3, 1995	Ofson	428	394	Aug. 24, 1993
	AB	5,741,600	Apr. 21, 1998	Olson	428	474.4	Nov. 18, 1994
	AC	5,610,214	Mar. 11, 1997	Olson	524	311	Jun. 7, 1995
	AD	5,380,780	Jan. 10, 1995	Olson	524	311	Sep. 14, 1992
	AE	4,201,216	May 6, 1980	Mattei	128	335.5	Feb. 9, 1979
	AF	3,896,814	July 29, 1975	Vivien et al.	128	335.5	Oct. 31, 1972
	AG	4,624,256	Nov. 25, 1986	Messier et al.	128	335.5	Sep. 11, 1985
	AH	4,137,279	Jan. 30, 1979.	Smith et al.	260	861	Dec. 27, 1977
	AI	4,649,920	Mar. 17, 1987	Rhum	128	335.5	Jan. 30, 1986
	AJ	2,576,576	Nov. 27, 1951	Cresswell et al.	128	335.5	April 19, 1946

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

AK	A. M. Klibanov et al. "A New Approach to Preparative Enzymatic Synthesis"; Biotechnology & Bioengineering, Vol. XIX, Pages 1351-1361 (1977) by John Wiley & Sons, Inc.
AL	"Final Report on the Safety Assessment of Glyceryl Stearate and Glyceryl Stearate/SE"; J. Am. College Toxicology, Vol. 1, No. 4, 12982, pp169-192 (1982)
AM	Rong-Kun Chang, James C. Price and Clyde W. Whitworth, "Control of Drug Release Rates Through the Use of Mixtures of Polycaprolactone and Cellulose Propionate Polymers;"; <u>Pharm Technol.</u> , pp 24-33, October, 1986
AN	Chang et al., "Control of Drug Release Rate by Use of Mixtures of Polycaprolactone and Cellulose Acetate Butyrate Polymers"; Drug Dev. & Indus. Pharmacy. 13(6), 1119-1135 (1987)
AO,	J. Heller, "Synthesis and Use of Poly (Ortho Esters) for the Controlled Delivery of Therapeutic Agents"; Technomic Pub. Co. Inc. 1988; J. Bioactive and Compatible Polymers, Volume 3, April 1988, pp 97-105
AP	C.G. Pitt et al., "Aliphatic Polyesters. 1. The Degradation of Poly(E-Caprolactone) In vivo"; Journal of Applied Polymer Science, Vol. 26, 3779-3787 (1981)
	AL AM AN AO

DATE CONSIDERED:

^{*} EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw fine through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

-			المارين المستعددين		Application No.	Applicant(s	CALAS		
Notice of References Cited				٠	O9/063048 CALAS Examiner Group Art Unit WEBMAN 1617			Page	
				U.S. PAT	ENT DOCUMENTS		1/	<u></u>	
*		DOCUMENT NO.	DATE			NAME		CLASS	SUBCLASS
-	A	4786501	11/1988	J	ANSKI E	er al			N.
_	В		1/1992		DAMAN	1			_
	С	5084267 5278201	1/1994		DUNN	1 6 AL 4 6 A	•		
	D	5641502	6/1497		SKALL	4 67 F	76		
	Е								
	F								
	G							-	
	н								
	1				,			 	
L_	J								
_	K							-	
_	L								
_	М		<u> </u>	FOREIGNI	PATENT DOCUME	MTS			1
L	1 1		0.475					CLASS	SUBCLASS
					INCLES 1	NAM	1 C	1 00 100	1 0000-
*	╁┈┨	DOCUMENT NO.	DATE	COL	JNTRY	NAM	IC	02.00	00001
_	N	DOCUMENT NO.	DATE	COL	JNTRY	NAM		02.00	,
	N 0	DOCUMENT NO.	DATE	COL	JNIHY	NAM			1
	N O P	DOCUMENT NO.	DATE	COL	JNIHY	NAM	, ,		,
_	N 0	DOCUMENT NO.	DATE	COL	JNIHY	NAM	, ,		,
	N O P Q	-	DATE	COL	JNIHY	NAM	,		,
	N O P Q R		DATE	COL	JNIHY	NAM	,		,
	N O P Q R		DATE		TENT DOCUMEN				
*	N O P Q R S		DATE	NON-PA	TENT DOCUMEN	TS			,
	N O P Q R S T			NON-PA	TENT DOCUMEN	TS	·		
	N O P Q R S			NON-PA	TENT DOCUMEN	TS			
	N O P Q R S T			NON-PA	TENT DOCUMEN	TS			
	N O P Q R S T			NON-PA	TENT DOCUMEN	TS			
	N O P Q R S T			NON-PA	TENT DOCUMEN	TS			
	N O P Q R S T			NON-PA	TENT DOCUMEN	TS			

* A copy of this reference Is not being funished with this Office action. (See Manual of Patent Examining Procedure, Section 707.05(a).)

Part of Paper No.